#### INVESTOR COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULAR SEBI/ HO/ CFD/ DCR2/ P/ CIR/ 2021/ 0661 DATED NOVEMBER 23, 2021

## **Category Wise Investor Complaint Data**

# I. Initial Public Offer/Follow on Public Offer including Offer for Sale: Main Board/SME-Data for month ended October 2024 is as follows:

| S.N. | Received From                 | Pending<br>as at the<br>end of<br>last<br>month | Received<br>During<br>the<br>particula<br>rmonth | Resolved<br>During<br>the<br>particula<br>rmonth* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\(in<br>days) |
|------|-------------------------------|---|--|---|---|------------------------------------|---|
| 1.   | Directly from Investors       | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                              |
| 2.   | SEBI (SCORES)                 | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                              |
| 3.   | Stock Exchanges (if relevant) | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                              |
| 4.   | Other Sources(if any)         | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                              |
|      | Grand Total                   | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                              |

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month          | Carried forward<br>from previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month * | Pending at the endof<br>the particular<br>month # |
|------|----------------|--|--|--|---|
| 1.   | June 2024      | Nil                                    | Nil  | Nil  | Nil   |
| 2.   | July 2024      | Nil                                    | Nil  | Nil  | Nil   |
| 3.   | August 2024    | Nil                                    | Nil  | Nil  | Nil   |
| 4.   | September 2024 | Nil                                    | Nil  | Nil  | Nil   |
| 5.   | October 2024   | Nil                                    | Nil  | Nil  | Nil   |
|      | Grand Total    | -                                      | -  | -  | -   |

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S.N. | Year        | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>year | Pending at the end<br>of the particular<br>year |
|------|-------------|--|---|---|---|
| 1.   | 2021        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 2.   | 2022        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 3.   | 2023        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 4.   | 2024        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 5.   | 2025        | +  | +   | +   | +   |
|      | Grand Total | -  | -   | -   | -   |

A Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.



| S.N. | Received From                 | Pending<br>as at the    | During                     | Resolved<br>During          | Total<br>pending                    | Pending<br>complaints | Average<br>Resolution |
|------|-------------------------------|-------------------------|----------------------------|-----------------------------|-------------------------------------|-----------------------|-----------------------|
|      |                               | end of<br>last<br>month | the<br>particula<br>rmonth | the<br>particula<br>rmonth* | During the<br>particular<br>month # | >1 month              | time^\ (in<br>days)   |
| 1.   | Directly from Investors       | Nil                     | Nil                        | Nil                         | Nil                                 | Nil                   | Not Applicable        |
| 2.   | SEBI (SCORES)                 | Nil                     | Nil                        | Nil                         | Nil                                 | Nil                   | Not Applicable        |
| 3.   | Stock Exchanges (if relevant) | Nil                     | Nil                        | Nil                         | Nil                                 | Nil                   | Not Applicable        |
| 4.   | Other Sources(if any)         | Nil                     | Nil                        | Nil                         | Nil                                 | Nil                   | Not Applicable        |
|      | Grand Total                   | Nil                     | Nil                        | Nil                         | Nil                                 | Nil                   | Not Applicable        |

#### II. Initial Public Offer - Debt -Data for month ended October 2024 is as follows:

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month          | Carried forward<br>from previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month * | Pending at the endof<br>the particular<br>month # |
|------|----------------|--|--|--|---|
| 1.   | June 2024      | Nil                                    | Nil  | Nil  | Nil   |
| 2.   | July 2024      | Nil                                    | Nil  | Nil  | Nil   |
| 3.   | August 2024    | Nil                                    | Nil  | Nil  | Nil   |
| 4.   | September 2024 | Nil                                    | Nil  | Nil  | Nil   |
| 5.   | October 2024   | Nil                                    | Nil  | Nil  | Nil   |
|      | Grand Total    | -                                      | -  | -  | -   |

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S.N. | Year        | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>year | Pending at the end<br>of the particular<br>year |
|------|-------------|--|---|---|---|
| 1.   | 2021        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 2.   | 2022        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 3.   | 2023        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 4.   | 2024        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 5.   | 2025        | +  | +   | +   | +   |
|      | Grand Total | -  | -   | -   | -   |

A Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.



## III. Rights Issue:

| S.N. | Received From                 | Pending<br>as at the<br>end of<br>last<br>month | Received<br>During<br>the<br>particular<br>month | Resolved<br>During<br>the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\ (in days) |
|------|-------------------------------|---|--|---|---|------------------------------------|---|
| 1.   | Directly from<br>Investors    | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 2.   | SEBI (SCORES)                 | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 3.   | Stock Exchanges (if relevant) | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 4.   | Other Sources<br>(if any)     | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
|      | Grand Total                   | Nil   | Nil  | Nil   | Nil   | Nil                                | -   |

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month          | Carried<br>forward from | Received during<br>the particular | Resolved during the particular | Pending at the end of the particular |
|------|----------------|-------------------------|-----------------------------------|--------------------------------|--------------------------------------|
|      |                | previous month          | month                             | month *                        | month #                              |
| 1.   | June 2024      | Nil                     | Nil                               | Nil                            | Nil                                  |
| 2.   | July 2024      | Nil                     | Nil                               | Nil                            | Nil                                  |
| 3.   | August 2024    | Nil                     | Nil                               | Nil                            | Nil                                  |
| 4.   | September 2024 | Nil                     | 1                                 | 1                              | Nil                                  |
| 5.   | October 2024   | Nil                     | Nil                               | Nil                            | Nil                                  |
|      | Grand Total    | Nil                     | 1                                 | 1                              | Nil                                  |

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S.N. | Year        | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>year | Pending at the end<br>Of the particular<br>year |
|------|-------------|--|---|---|---|
| 1.   | 2021        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 2.   | 2022        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 3.   | 2023        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 4.   | 2024        | Nil                                      | 1   | 1   | Nil   |
| 5.   | 2025        | +  | +   | +   | +   |
|      | Grand Total | Nil                                      | 1   | 1   | Nil   |

A Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.



# IV. Qualified Institutional Placement (QIPs)

Data for month ended October 2024 is as follows:

| S.N. | Received From                 | Pending<br>as at the<br>end of<br>last<br>month | Received<br>During<br>the<br>particular<br>month | Resolved<br>During<br>the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\ (in days) |
|------|-------------------------------|---|--|---|---|------------------------------------|---|
| 1.   | Directly from<br>Investors    | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 2.   | SEBI (SCORES)                 | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 3.   | Stock Exchanges (if relevant) | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 4.   | Other Sources<br>(if any)     | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
|      | Grand Total                   | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month          | Carried<br>forward from | Received during the particular | Resolved during the particular | Pending at the end<br>of the particular |
|------|----------------|-------------------------|--------------------------------|--------------------------------|---|
|      |                | previous month          | month                          | month *                        | month #                                 |
| 1.   | June 2024      | Nil                     | Nil                            | Nil                            | Nil                                     |
| 2.   | July 2024      | Nil                     | Nil                            | Nil                            | Nil                                     |
| 3.   | August 2024    | Nil                     | Nil                            | Nil                            | Nil                                     |
| 4.   | September 2024 | Nil                     | Nil                            | Nil                            | Nil                                     |
| 5.   | October 2024   | Nil                     | Nil                            | Nil                            | Nil                                     |
|      | Grand Total    | -                       | -                              | -                              | -                                       |

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S.N. | Year        | Carried<br>forward from | Received<br>during the | Resolved during<br>the particular | Pending at the end<br>of the particular |
|------|-------------|-------------------------|------------------------|-----------------------------------|---|
|      |             | previous year           | particular year        | year                              | year                                    |
| 1.   | 2021        | Nil                     | Nil                    | Nil                               | Nil                                     |
| 2.   | 2022        | Nil                     | Nil                    | Nil                               | Nil                                     |
| 3.   | 2023        | Nil                     | Nil                    | Nil                               | Nil                                     |
| 4.   | 2024        | Nil                     | Nil                    | Nil                               | Nil                                     |
| 5.   | 2025        | +                       | +                      | +                                 | +                                       |
|      | Grand Total | -                       | -                      | -                                 | -                                       |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.



## V. Preferential Issue

| S.N. | Received From                 | Pending<br>as at the<br>end of<br>last<br>Month | Received<br>During<br>the<br>particular<br>month | Resolved<br>During<br>the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\ (in days) |
|------|-------------------------------|---|--|---|---|------------------------------------|---|
| 1.   | Directly from<br>Investors    | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 2.   | SEBI (SCORES)                 | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 3.   | Stock Exchanges (if relevant) | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 4.   | Other Sources (if any)        | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
|      | Grand Total                   | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |

Data for month ended October 2024 is as follows:

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month          | Carried<br>forward from | Received during the particular | Resolved during the particular | Pending at the end of the particular |
|------|----------------|-------------------------|--------------------------------|--------------------------------|--------------------------------------|
|      |                | previous month          | month                          | month *                        | month #                              |
| 1.   | June 2024      | Nil                     | Nil                            | Nil                            | Nil                                  |
| 2.   | July 2024      | Nil                     | Nil                            | Nil                            | Nil                                  |
| 3.   | August 2024    | Nil                     | Nil                            | Nil                            | Nil                                  |
| 4.   | September 2024 | Nil                     | Nil                            | Nil                            | Nil                                  |
| 5.   | October 2024   | Nil                     | Nil                            | Nil                            | Nil                                  |
|      | Grand Total    | -                       | -                              | -                              | -                                    |

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S.N. | Year        | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>year | Pending at the end<br>of the particular<br>year |
|------|-------------|--|---|---|---|
| 1.   | 2021        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 2.   | 2022        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 3.   | 2023        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 4.   | 2024        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 5.   | 2025        | +  | +   | +   | +   |
|      | Grand Total | -  | -   | -   | •   |

A Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

### VI. Buyback of Securities

#### Data for month ended October 2024 is as follows:

| S.N. | Received From                 | Pending<br>as at the<br>end of<br>last<br>Month | Received<br>During<br>the<br>particular<br>month | Resolved<br>During<br>the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\ (in days) |
|------|-------------------------------|---|--|---|---|------------------------------------|---|
| 1.   | Directly from<br>Investors    | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 2.   | SEBI (SCORES)                 | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 3.   | Stock Exchanges (if relevant) | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 4.   | Other Sources<br>(if any)     | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
|      | Grand Total                   | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month          | Carried<br>forward from<br>previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|------|----------------|---|--|--|--|
| 1.   | June 2024      | Nil                                       | Nil  | Nil  | Nil  |
| 2.   | July 2024      | Nil                                       | Nil  | Nil  | Nil  |
| 3.   | August 2024    | Nil                                       | Nil  | Nil  | Nil  |
| 4.   | September 2024 | Nil                                       | Nil  | Nil  | Nil  |
| 5.   | October 2024   | Nil                                       | Nil  | Nil  | Nil  |
|      | Grand Total    | -   | -  | -  | -  |

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S.N. | Year        | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>Year | Pending at the end<br>of the particular<br>year |
|------|-------------|--|---|---|---|
| 1.   | 2021        | Nil                                      | 4   | 4   | Nil   |
| 2.   | 2022        | Nil                                      | 1   | 1   | Nil   |
| 3.   | 2023        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 4.   | 2024        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 5.   | 2025        | +  | +   | +   | +   |
|      | Grand Total | -  | 5   | 5   | -   |

A Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

## VII. Delisting of Securities

#### Data for month ended October 2024 is as follows:

| S.N. | Received From                 | Pending<br>as at the<br>end of<br>last<br>Month | Received<br>During<br>the<br>particular<br>month | Resolved<br>During<br>the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\ (in days) |
|------|-------------------------------|---|--|---|---|------------------------------------|---|
| 1.   | Directly from<br>Investors    | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 2.   | SEBI (SCORES)                 | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 3.   | Stock Exchanges (if relevant) | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 4.   | Other Sources<br>(if any)     | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
|      | Grand Total                   | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month          | Carried<br>forward from<br>previous month | Received during<br>the particular<br>month | Resolved during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|------|----------------|---|--|--|--|
| 1.   | June 2024      | Nil                                       | Nil  | Nil  | Nil  |
| 2.   | July 2024      | Nil                                       | Nil  | Nil  | Nil  |
| 3.   | August 2024    | Nil                                       | Nil  | Nil  | Nil  |
| 4.   | September 2024 | Nil                                       | Nil  | Nil  | Nil  |
| 5.   | October 2024   | Nil                                       | Nil  | Nil  | Nil  |
|      | Grand Total    | -   | -  | -  | -  |

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S.N. | Year        | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>year | Pending at the end<br>of the particular<br>year |
|------|-------------|--|---|---|---|
| 1.   | 2021        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 2.   | 2022        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 3.   | 2023        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 4.   | 2024        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 5.   | 2025        | +  | +   | +   | +   |
|      | Grand Total | -  | -   | -   | -   |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

#### VIII. Substantial Acquisition of Shares & Takeovers

| S.N. | Received From                 | Pending<br>as at the<br>end of<br>last<br>month | Received<br>During<br>the<br>particular<br>month | Resolved<br>During<br>the<br>particular<br>month* | Total<br>pending<br>During the<br>particular<br>month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\ (in days) |
|------|-------------------------------|---|--|---|---|------------------------------------|---|
| 1.   | Directly from<br>Investors    | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 2.   | SEBI (SCORES)                 | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 3.   | Stock Exchanges (if relevant) | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
| 4.   | Other Sources<br>(if any)     | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |
|      | Grand Total                   | Nil   | Nil  | Nil   | Nil   | Nil                                | Not Applicable                            |

#### Data for month ended October 2024 is as follows:

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.<br>N. | Month          | Carried<br>forward from | Received during the particular | Resolved during the particular | Pending at the end of the particular |
|----------|----------------|-------------------------|--------------------------------|--------------------------------|--------------------------------------|
|          |                | previous month          | month                          | month *                        | month #                              |
| 1.       | June 2024      | Nil                     | Nil                            | Nil                            | Nil                                  |
| 2.       | July 2024      | Nil                     | Nil                            | Nil                            | Nil                                  |
| 3.       | August 2024    | Nil                     | Nil                            | Nil                            | Nil                                  |
| 4.       | September 2024 | Nil                     | Nil                            | Nil                            | Nil                                  |
| 5.       | October 2024   | Nil                     | Nil                            | Nil                            | Nil                                  |
|          | Grand Total    | Nil                     | Nil                            | Nil                            | Nil                                  |

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S.N. | Year        | Carried<br>forward from<br>previous year | Received<br>during the<br>particular year | Resolved during<br>the particular<br>vear | Pending at the end<br>of the particular<br>vear |
|------|-------------|--|---|---|---|
| 1    | 2021        | Nil                                      | Nil                                       | Nil                                       | Nil   |
| 1.   |             |  | 1411                                      | 1111                                      |   |
| 2.   | 2022        | Nil                                      | 3   | 3   | Nil   |
| 3.   | 2023        | Nil                                      | 1   | 1   | Nil   |
| 4.   | 2024        | Nil                                      | 1   | 1   | Nil   |
| 5.   | 2025        | +  | +   | +   | +   |
|      | Grand Total | -  | 5   | 5   | -   |

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.